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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, DC 20231.

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Tracy Westphal

Attorney Docket No. 0881

November 13, 2001

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Ranch et al.

Title: Methods for Transforming Immature Maize Embryos

APPLICATION ELEMENTS

1. ☐ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 55]
(Preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets]
 - a. ☐ Formal
 - b. ☐ Informal

09993080-111301

Attorney Docket No.

4. ☒ Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy) [Total Pages ____]
- c. ☐ Statement verifying identity to above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(where there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS/PTO-1449) ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired
14. ☐ Certified Copy of Priority document(s)
15. ☒ Other: Application Data Sheet

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application
No. _____.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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☒ The total fee is calculated as shown below:

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| Independent Claims | 4 - 3 | = 1 | x | \$ 84.00 | 84.00 |

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| TOTAL FILING FEE | \$1,580.00 |
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Attorney Docket No.

- ☒ Charge **\$1,580.00** to Deposit Account No. 16-1852.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 16-1852.
- ☒ A duplicate of this transmittal is enclosed

Respectfully submitted,



Catherine D. Brooke
Agent for Applicant(s)
Registration No. 44,041

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ranch et al. for "Methods for Transforming Immature Maize Embryos"

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

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Date of Deposit: November 13, 2001

I hereby certify that the attached is being mailed by being deposited with the United States Postal Service as Post-Office-to-Addressee Express Mail addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.


TRACY WESTPHAL

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